

"Express Mail" mailing number.: EM143946575US  
Date of Deposit September 14, 2007  
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 14, 2007.

Stacie H. Boswell  
Stacie H. Boswell  
Date of Signature September 14, 2007

RECEIVED  
14 SEP 2007  
Legal Staff  
International Division

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE PCT BRANCH

In re Application of: DeSimone et al.

Group Art Unit: Not Assigned

Serial No.: 10/583,570

Examiner: Not Assigned

Filed: June 19, 2006

Docket No.: 421/90 PCT/US

For: METHODS FOR FABRICATING ISOLATED MICRO- AND NANO-  
STRUCTURES USING SOFT OR IMPRINT LITHOGRAPHY

\*\*\*\*\*

RESPONSE TO DECISION ON PETITION UNDER  
37 CFR 1.182 DATED JULY 10, 2007

MAIL STOP PCT  
Commissioner for Patents  
Office of PCT Legal Administration  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This is pursuant to the "Decision on Petition Under 37 CFR 1.182" dated July 10, 2007. Please find enclosed the following:

1. Two (2) Name Change Statements which have been executed by  
Ginger Denison Rothrock and Ansley E. Exner.

A copy of the Decision on Petition Under 37 CFR 1.182 is enclosed herewith.

Applicants believe that no fees are due for the subject Response since our Deposit Account was charged \$400.00 for the petition fee on July 10, 2007. A copy

Appl. Serial No.: 10/583,570

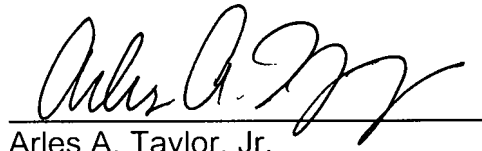
of the monthly statement for July 2007 reflecting this charge is enclosed. However, if required, the Commissioner is hereby authorized to charge any additional fees associated with the filing of this correspondence to Deposit Account No. 50-0426.

Respectfully submitted,

JENKINS, WILSON, TAYLOR & HUNT, P.A.

Date: 09/14/2007

By:



Arles A. Taylor, Jr.  
Registration No. 39,395

AAT/shb

Enclosures

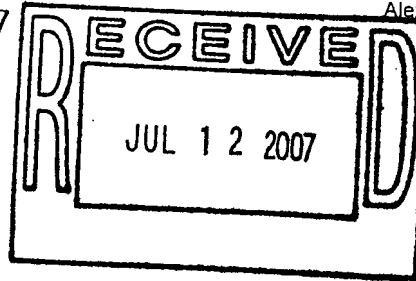
Customer No: 25297



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
www.uspto.gov

10 JUL 2007



JENKINS, WILSON, TAYLOR & HUNT, P. A.  
SUITE 1200, UNIVERSITY TOWER  
3100 TOWER BOULEVARD  
DURHAM, NC 27707

In re Application of  
DESIMONE, Joseph, M. et al.  
Application No.: 10/583,570  
PCT No.: PCT/US04/42706  
Int. Filing Date: 20 December 2004  
Priority Date: 19 December 2003  
Attorney's Docket No.: 421/90 PCT/US  
For: METHODS FOR FABRICATING ...  
OR IMPRINT LITHOGRAPHY

DECISION ON

PETITION

UNDER 37 CFR 1.182

This decision responds to applicant's petition to correct an inventor's name, filed with the United States Patent and Trademark Office on 05 March 2007. It has been treated as a petition under 37 CFR 1.182.

**BACKGROUND**

On 20 December 2004, applicant filed international application PCT/US04/42706, claiming a priority date of 19 December 2003. The deadline for entry into the national stage in the United States was midnight 19 June 2006.

On 19 June 2006, applicant filed a submission for entry into the national stage in the United States which was accompanied by the basic national fee and an unexecuted declaration of the inventors.

On 14 February 2007, the Office mailed Notification of Missing Requirements (Form PCT/DO/EO/905) indicating that an oath or declaration and the surcharge for late filing the search fee, examination fee or oath or declaration were required and indicating that the last name of the eighth inventor did not match the international application and that an clarification was required.

On 05 March 2007, applicant submitted this petition and an executed declaration.

**DISCUSSION**

The fee for a petition under 37 CFR 1.182 is \$400. The petition fee will be charged to deposit account no. 50-0426, as authorized.

MPEP 605.04(c) sets out how to change an applicant's name for national phase.

DOCKET DATES: Initial: 9/10/07  
Absolute: 2/10/08  
ASSIGNED ATTY: AAT/ALO  
FILE NO. 421/90 PCT/US  
DOCKETED BY: CAB DATE: 7/13/07

Applicant must provide a signed statement from the inventor listing her former name, her current name and the reason for the change. Here the attorney has made the statements, not the inventor.

Additionally, the name of the third inventor has also been changed. The inventor is listed as Ansley E. Exner on the international application, but as Ansley E. Dennis on the declaration. A statement explaining the inventor explaining this change is also required.

### CONCLUSION

Applicant's petition under 37 CFR 1.182 is **DISMISSED** without prejudice.

An oath or declaration in compliance with 37 CFR 1.497(a)-(b) or a renewed petition under 37 CFR 1.182 is required within **TWO (2) MONTHS** of the mailing of this decision. Failure to timely reply will result in the abandonment of this application. Extensions of time under 37 CFR 1.136(a) are available.

Any further correspondence with respect to this matter should be addressed to the Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.



Erin P. Thomson  
Attorney Advisor  
PCT Legal Administration

Telephone: 571-272-3292  
Facsimile: 571-273-0459

"Express Mail" mailing number: EM 143946575 US  
Date of Deposit: September 14 2007  
I hereby certify that paper and all papers and fees referred to herein are  
being deposited with the United States Postal Service "Express Mail Post  
Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated  
above and is addressed to the Office of Petitions, Mail Stop Petition,  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Stacie H. Boswell

Stacie H. Boswell

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: DeSimone et al.

Group Art Unit: Not Assigned

Serial No.: 10/583,570

Examiner: Not Assigned

Filed: June 19, 2006

Atty Docket No.: 421/90 PCT/US

Confirmation No.: 8879

For: METHODS FOR FABRICATING ISOLATED MICRO- AND NANO-  
STRUCTURES USING SOFT OR IMPRINT LITHOGRAPHY

\*\*\*\*\*

NAME CHANGE STATEMENT

I, Ansley E. Dennis, hereby state that my former name, Ansley E. Exner has  
changed to my current name, Ansley E. Dennis, due to marriage.

9/14/07  
Date

Ansley E. Dennis  
Ansley E. Dennis

Witnessed by:

Mary B. Gray 9/14/07  
Name Date

Phil Fortunato 9/14/07  
Name Date

"Express Mail" mailing number: E4143946575 US  
Date of Deposit: September 14, 2007  
I hereby certify that this paper and all papers and fees referred to herein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Office of Petitions, Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Stacie H. Boswell  
Stacie H. Boswell

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: DeSimone et al.

Group Art Unit: Not Assigned

Serial No.: 10/583,570

Examiner: Not Assigned

Filed: June 19, 2006

Atty Docket No.: 421/90 PCT/US

Confirmation No.: 8879

For: METHODS FOR FABRICATING ISOLATED MICRO- AND NANO-  
STRUCTURES USING SOFT OR IMPRINT LITHOGRAPHY

\*\*\*\*\*

NAME CHANGE STATEMENT

I, Ginger Denison Rothrock, hereby state that my former name, Ginger Denison has changed to my current name, Ginger Denison Rothrock, due to marriage.

17 Aug 2007  
Date

Ginger Denison Rothrock  
Ginger Denison Rothrock

Witnessed by:

Larry Goltz 17 Aug 07  
Name Date

[Signature] 17 Aug 07  
Name Date



**United States  
Patent and  
Trademark Office**



**Deposit Account Statement**

**Requested Statement Month:** July 2007  
**Deposit Account Number:** 500426  
**Name:** JENKINS & WILSON  
**Attention:** RICHARD E JENKINS ESQ  
**Street Address 1:** SUITE 1401 UNIVERSITY TOWER  
**Street Address 2:** 3100 TOWER BOULEVARD  
**City:** DURHAM  
**State:** NC  
**Zip:** 27707  
**Country:** UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
07/02	118	E-REPLENISHMENT		9203	-\$4,000.00	\$11,135.00
07/03	6	11598549	297/223/2	2255	\$60.00	\$11,075.00
07/06	83	10842512	1387.0004C	2201	\$100.00	\$10,975.00
07/09	206	11823642	1512/21	8021	\$40.00	\$10,935.00
07/09	130	11825469	421/90/11-PCT/US-CON	1081	\$250.00	\$10,685.00
07/10	1	10583570	421/90 PCT/US	1462	\$400.00	\$10,285.00
07/10	12109	6676710	297/117/2	2551	\$450.00	\$9,835.00
07/10	12168	6015231	297/68/2	1552	\$2,300.00	\$7,535.00
07/11	121	10234343	297/120/2	2501	\$700.00	\$6,835.00
07/11	123	10234343	297/120/2	8001	\$30.00	\$6,805.00
07/11	122	10234343	297/120/2	1504	\$300.00	\$6,505.00
07/11	9100	E-REPLENISHMENT		9203	-\$4,000.00	\$10,505.00
07/11	9208	11807550	1406/456	8007	\$20.00	\$10,485.00
07/12	2175	76571027	1448/2	7004	\$450.00	\$10,035.00
07/12	7907	11799325		8021	\$40.00	\$9,995.00
07/16	8129	60925099		8021	\$40.00	\$9,955.00
07/17	9577	11786763		8021	\$40.00	\$9,915.00
07/18	1	11637625	1242/26/2/2	1806	\$180.00	\$9,735.00
07/19	15	60840230	1322/275 PROV	1807	\$50.00	\$9,685.00
07/19	9147	11809201		8021	\$40.00	\$9,645.00
07/20	129	6079236	1166/3/2	2552	\$1,150.00	\$8,495.00
07/24	73	11805899		9204	-\$250.00	\$8,745.00
07/26	1041	11811342		8021	\$40.00	\$8,705.00
07/26	1114	60925098		8021	\$40.00	\$8,665.00
07/27	6155	60812637		8021	\$40.00	\$8,625.00
07/27	122	11614229	1449/10/5 DIV	1501	\$1,400.00	\$7,225.00
07/27	123	11614229	1449/10/5 DIV	1504	\$300.00	\$6,925.00
07/31	3	11666519		9204	-\$175.00	\$7,100.00
07/31	146	10456668	4493-24CP2	2501	\$700.00	\$6,400.00
07/31	147	10456668	4493-24CP2	1504	\$300.00	\$6,100.00
07/31	148	10456668	4493-24CP2	8001	\$30.00	\$6,070.00
07/31	10063	60926350	1406/452	8007	\$20.00	\$6,050.00

START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$7,135.00	\$9,510.00	\$8,425.00	\$6,050.00

[Need Help?](#) | [USPTO Home Page](#) | [Finance Online Shopping Page](#)

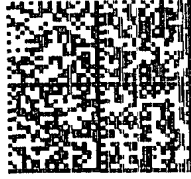




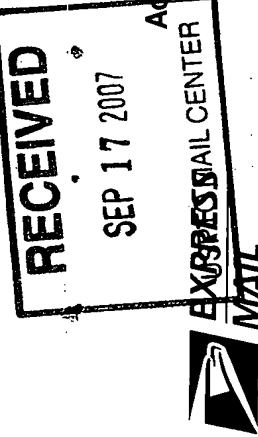
UNITED STATES POSTAL SERVICE

Flat Rate  
Mailing Envelope  
For Domestic and International Use  
Visit us at [usps.com](http://usps.com)

047 J82004865  
\$ 16.250  
09/14/2007  
Mailed From 27707  
US POSTAGE



EM143946575US



Addresssee Copy  
Label 11-F, April 2004

ORIGIN (POSTAL SERVICE USE ONLY)				DELIVERY (POSTAL SERVICE USE ONLY)			
PO ZIP Code	Day of Delivery	Postage		Delivery Attempt	Time	Employee Signature	
	<input type="checkbox"/> Next <input type="checkbox"/> 2nd <input type="checkbox"/> 3rd <input type="checkbox"/> 4th <input type="checkbox"/> 5th <input type="checkbox"/> 6th <input type="checkbox"/> 7th <input type="checkbox"/> 8th <input type="checkbox"/> 9th <input type="checkbox"/> 10th	\$		Mo. Day	Time	Employee Signature	
Date Accepted	Scheduled Date of Delivery	Return Receipt Fee		Mo. Day	Time	Employee Signature	
Mo. Day Year	Month Day	\$		Mo. Day	Time	Employee Signature	
Time Accepted	Scheduled Time of Delivery	COD Fee		Mo. Day	Time	Employee Signature	
<input type="checkbox"/> AM <input type="checkbox"/> PM	<input type="checkbox"/> Noon <input type="checkbox"/> 3 PM	\$		Mo. Day	Time	Employee Signature	
Flat Rate <input type="checkbox"/> or Weight	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Total Postage & Fees		Mo. Day	Time	Employee Signature	
lbs. ozs.	Int'l Alpha Country Code	\$		Mo. Day	Time	Employee Signature	
	Acceptance Emp. Initials			Mo. Day	Time	Employee Signature	

FROM: (PLEASE PRINT)		TO: (PLEASE PRINT)	
Arles A. Taylor, Jr./shb	PHONE ( ) -	MAIL STOP PCI	PHONE ( ) -
(421/90 PCI/US)		Commissioner for Patents	
		Office of PCT Legal Administration	
		P.O. Box 1459	
		Alexandria, VA 22313-1459	

FOR PICKUP OR TRACKING: Visit [www.usps.com](http://www.usps.com) or Call 1-800-222-1811



le  
ates  
1  
eristics.